Monomer-Dimer Models

1 Basic Models

Firstly, we put some notations here. For a graph G = (V, E) with |V| = n, assume that $V = \{v_1, \ldots, v_n\}$. For $v \in V$, let $N_G(v)$ denote the sets of neighbors of v, and $\Delta_G(v) := |N_G(v)|$ denote the degree of v in G. The maximum degree of G is defined by $\Delta := \max_{v \in V} \Delta_G(v)$. For $v \in V$, we use the notation $G \setminus \{v\}$ to denote $G[V \setminus \{v\}]$ and $G_k = G \setminus \{v_1, \ldots, v_{k-1}\}$ with convention $G_0 = G$.

A matching is a subset of edges such that every pair of two edges share no endpoints. Given a graph G = (V, E) and a fugacity $\lambda > 0$, let Ω be the collection of matchings on G. The Gibbs distribution of the monomer-dimer model on G at fugacity λ is the probability distribution $\mu = \mu_{G,\lambda}$ defined as

$$\mu_{G,\lambda}(S) = \frac{\lambda^{|S|}}{Z_G(\lambda)}, \quad \forall S \in \Omega$$

where $Z_G(\lambda) = \sum_{S \in \Omega} \lambda^{|S|}$ is the normalizing factor named *partition function*. To be consistent with the language of the two-spin systems, we also use $\sigma = \sigma_S \in \{-, +\}^E$ to denote a subset *S* of edges *E*, where for every $e \in E$

$$\sigma_S(e) = \begin{cases} + & e \in S \\ - & e \notin S \end{cases}$$

Our major interests lie in the following three aspects:

- 1. How to efficiently estimate the partition function $Z_G(\lambda)$ (approximate counting problem).
- 2. How to efficiently sample a matching from $\mu_{G,\lambda}$ (randomly sampling problem).
- 3. The zero-free region of $Z_G(\lambda)$ with respect to $\lambda \in \mathbb{C}$ (zero-freeness of the partition function).

2 Deterministically Counting Matchings

In this section we show how to estimate $Z_G(\lambda)$ efficiently.

Theorem 2.1 (Theorem 2.1 in [BGK⁺07]). For every $\varepsilon \in (0, 1)$, there exists a deterministic ε -algorithm which provides an FPTAS for computing $Z_G(\lambda)$ of a monomer-dimer model on G = (V, E) with |V| = n and constant maximum degree Δ at constant fugacity $\lambda > 0$, running time with $O\left((n/\varepsilon)^{\kappa \log \Delta + 1}\right)$ where $\kappa = -\frac{2}{\log\left(1 - \frac{2}{\sqrt{1+\Delta \Delta + 1}}\right)}$.

To compute $Z_G(\lambda)$, we apply the method of the correlation decay. The following identity is of great significant.

Proposition 2.2 (Proposition 2.2 in [BGK⁺07]). Under the settings and notations described above, the following identity holds

$$Z_G(\lambda) = \frac{1}{\prod_{1 \le k \le |V|} \Pr_{M \sim \mu_{G_k,\lambda}} [v_k \notin M]}$$

To estimate $Z_G(\lambda)$, we turn our sight to the marginal probability $\Pr_{M \sim \mu_{G,\lambda}} [v \notin M]$. The following recursion is simple but meaningful.

Proposition 2.3 (Proposition 3.1 in [BGK⁺07]). For every vertex $v \in V$, it holds that

$$\mathbf{Pr}_{M \sim \mu_{G,\lambda}} \left[v \notin M \right] = \frac{1}{1 + \lambda \sum_{u \in N_G(v)} \mathbf{Pr}_{M \sim \mu_{G \setminus \{v\},\lambda}} \left[u \notin M \right]}.$$

Proof. For every $v \in V$, by definition, the following identity holds:

$$Z_G(\lambda) = Z_{G \setminus \{v\}}(\lambda) + \lambda \sum_{u \in N_G(v)} Z_{G \setminus \{u,v\}}(\lambda)$$

Then,

$$\begin{aligned} \mathbf{Pr}_{M \sim \mu_{G,\lambda}} \left[v \notin M \right] &= \frac{Z_{G \setminus \{v\}}(\lambda)}{Z_G(\lambda)} \\ &= \frac{Z_{G \setminus \{v\}}(\lambda)}{Z_{G \setminus \{v\}}(\lambda) + \lambda \sum_{u \in N_G(v)} Z_{G \setminus \{u,v\}}(\lambda)} \\ &= \frac{1}{1 + \lambda \sum_{u \in N_G(v)} \frac{Z_{G \setminus \{u,v\}}(\lambda)}{Z_{G \setminus \{v\}}(\lambda)}} \\ &= \frac{1}{1 + \lambda \sum_{u \in N_G(v)} \mathbf{Pr}_{M \sim \mu_{G \setminus \{v\},\lambda}} \left[u \notin M \right]}. \end{aligned}$$

For any subgraph $H \subseteq G$ of the graph G, every vertex $v \in V$ and non-negative integer $t \in \mathbb{N}$, we introduce the quantity $\Phi_H(v, t)$ as:

$$\Phi_{H}(v,t) = \begin{cases} 0 & t = 0\\ \frac{1}{1 + \lambda \sum_{u \in N_{H}(v)} \Phi_{H \setminus \{v\}}(u,t-1)} & t \ge 1 \end{cases}.$$

It is easy to observe that for every subgraph $H \subseteq G$, every vertex $v \in V$ and $t \in \mathbb{N}$,

$$\frac{1}{1+\lambda\Delta} \le \Phi_H(v,t) \le 1.$$

Theorem 2.4 (Correlation Decay, Theorem 3.2 in [BGK⁺07]). For every vertex $v \in V$ and every positive even integer $t \in \mathbb{N}$, it holds that

$$\left| \mathbf{Pr}_{M \sim \mu_{G,\lambda}} \left[v \notin M \right] - \log \Phi_G(v, t) \right| \le \left(1 - \frac{2}{\sqrt{1 + \lambda\Delta} + 1} \right)^{t/2} \log(1 + \lambda\Delta)$$

Proof. For $v \in G$, let $N_G(v) = \{u_1, \ldots, u_m\}$ and for $i \in [m]$, let $N_{G \setminus \{v\}}(u_i) = \{w_1^{(i)}, \ldots, w_{m_i}^{(i)}\}$. Furthermore, we use the following notations:

$$\begin{aligned} x &= \log \Pr_{M \sim \mu_{G,\lambda}} \left[v \notin M \right], x_i = \log \Pr_{M \sim \mu_{G \setminus \{v\},\lambda}} \left[u_i \notin M \right], x_j^{(i)} = \log \Pr_{M \sim \mu_{G \{v,u_i\},\lambda}} \left[w_j^{(i)} \notin M \right] \\ y &= \log \Phi_G(v,t), y_i = \log \Phi_{G \setminus \{v\}} (u_i, t-1), y_j^{(i)} = \log \Phi_{G \setminus \{v,u_i\}} (w_j^{(i)}, t-2) \end{aligned}$$

for every i = 1, ..., m and $j = 1, ..., m_i$.

Let $M = \sum_{i=1}^{m} m_i$, and $\vec{z} = (z_1^{(1)}, \dots, z_{m_1}^{(1)}, \dots, z_1^{(m)}, \dots, z_{m_m}^{(m)})$. Define the function $f : [0, 1]^M \to [0, 1]$ as

$$f(\vec{z}) = \log \left(1 + \lambda \sum_{i=1}^{m} \frac{1}{1 + \lambda \sum_{j=1}^{m_i} e^{z_j^{(i)}}} \right).$$

Then we know $x = -f(\vec{x})$ and $y = -f(\vec{y})$.

Now we consider the function $g(\alpha) = f(\alpha \vec{x} + (1-\alpha)\vec{y})$ for $\alpha \in [0, 1]$. By the mean-value theorem and Hölder's inequality

$$|x - y| = \left|\nabla f(\vec{z}_{\alpha})^{\top}(\vec{x} - \vec{y})\right| \le \|\nabla f(\vec{z}_{\alpha})\|_{1} \cdot \|\vec{x} - \vec{y}\|_{\infty}$$

By calculation,

$$\|\nabla f(z)\|_1 = \frac{1}{1 + \lambda \sum_{i=1}^m \frac{1}{1 + \lambda \sum_{j=1}^{m_i} e^{z_j^{(i)}}}} \sum_{i=1}^m \lambda \left(\frac{1}{1 + \lambda \sum_{j=1}^{m_i} e^{z_j^{(i)}}}\right)^2 \lambda \sum_{j=1}^{m_i} e^{z_j^{(i)}}.$$

For convenience, let $A_i = 1 + \lambda \sum_{j=1}^{m_i} e^{z_j^{(i)}}$. Then we show that

$$\|\nabla f(z)\|_{1} = \frac{\sum_{i=1}^{m} \frac{\lambda(A_{i}-1)}{A_{i}^{2}}}{1+\lambda \sum_{i=1}^{m} \frac{1}{A_{i}}} = 1 - \frac{1+\lambda \sum_{i=1}^{m} \frac{1}{A_{i}^{2}}}{1+\lambda \sum_{i=1}^{m} \frac{1}{A_{i}}}.$$

The maximal value of $\|\nabla f(z)\|_1$ takes at the point for every $1/A_i = \frac{\sqrt{1+\lambda m}-1}{\lambda m}$. Then

$$\|\nabla f(z)\|_1 \leq 1 - \frac{2}{\sqrt{\lambda m} + 1} \leq 1 - \frac{2}{\sqrt{\lambda \Delta} + 1}$$

Then we obtain

$$\begin{aligned} &\left|\log \Pr_{M \sim \mu_{G,\lambda}} \left[v \notin M \right] - \log \Phi_G(v,t) \right| \\ &\leq \left(1 - \frac{2}{\sqrt{\lambda\Delta} + 1} \right) \max_{i,j} \left|\log \Pr_{M \sim \mu_{G \setminus \{v,u_i\},\lambda}} \left[w_j^{(i)} \notin M \right] - \log \Phi_{G \setminus \{v,u_i\}}(w_j^{(i)}, t-2) \right| \end{aligned}$$

Then the inequality holds by the simple calculation when t = 0 or 1 by Proposition 2.3.

To estimate $Z_G(\lambda)$, it suffices to estimate $\Phi_G(v, t)$ when *t* is not large. Simply by definition we can compute $\Phi_G(v, t)$ in time $O(\Delta^t)$, and with proper choice of *t*, the error can be bounded. The core is the following algorithm:

Algorithm 1: estimating $Z_G(\lambda)$

input : a graph G = (V, E) with |V| = n and maximum degree Δ , a fugacity $\lambda > 0$ and an tolerance error $\varepsilon \in (0, 1)$ **output**: an ε -approximation \widehat{Z} for the partition function $Z_G(\lambda)$ $Z \leftarrow 1, H \leftarrow G$; 2 Set $\delta \leftarrow -\log\left(1 - \frac{2}{\sqrt{1+\lambda\Delta+1}}\right)$ and $t \leftarrow 2\lceil (\log n + \log \log(1 + \lambda\Delta) - \log \varepsilon) / \delta \rceil$; **while** $H \neq \emptyset$ **do** | choose an arbitrary vertex $v \in H$; | compute $\Phi_H(v, t)$; | Set $Z \leftarrow \frac{1}{\Phi_H(v, t)}$ and $H \leftarrow H \setminus \{v\}$; **return** Z.

Equipped with Algorithm 1, we are ready to prove Theorem 2.1.

Proof of Theorem 2.1. We apply Algorithm 1. Note that, under the choice of δ and t, by Theorem 2.4, it holds that

$$e^{-\varepsilon/n} \le \frac{\Phi_H(v,t)}{\operatorname{Pr}_{M \sim \mu_{H,\lambda}} [v \notin M]} \le e^{\varepsilon/n}$$

and the running time is $O(n\Delta^t) = O\left((n/\varepsilon)^{\kappa \log \Delta + 1}\right)$ where $\kappa = -\frac{2}{\log\left(1 - \frac{2}{\sqrt{1+\lambda} + 1}\right)}$. Then we know

$$e^{-\varepsilon} \leq \frac{\widehat{Z}}{Z} \leq e^{\varepsilon}$$

by Proposition 2.2.

3 Sampling Matchings

To sample from $\mu_{G,\lambda}$, we consider the following chain introduced in [JS96]. Suppose that we are now at $M \in \Omega$. The update rule is described as following:

- 1. with probability 1/2 let M' = M; otherwise
- 2. pick an edge $e = \{u, v\} \in E$ uniformly at random and let

$$M' = \begin{cases} M \setminus \{e\} & e \in M; \\ M \cup \{e\} & u, v \in M; \\ M \cup \{e\} \setminus \{e'\} & \text{exactly one of } u \text{ and } v \text{ is in } M \text{ and } e' \text{ is the matching edge;} \\ M & \text{otherwise;} \end{cases}$$

3. go to *M'* with probability min $\left\{1, \frac{\mu_{G,\lambda}(M')}{\mu_{G,\lambda}(M)}\right\}$.

We denote this Markov chain by *P*. Note that when $M \neq M'$, the ratio $\frac{\mu_{G,\lambda}(M')}{\mu_{G,\lambda}(M)}$ takes values in $\{\lambda^{-1}, 1, \lambda\}$, corresponding to three kinds of transitions:

- (Type 1) An edge is removed from *M*.
- (Type 2) An edge is added to M.

• (Type 3) A new edge is exchanged with an edge in *M*.

Proposition 3.1 (Proposition 12.4 in [JS96]). For every graph G = (V, E) with |V| = n and fugacity $\lambda > 0$, let P be the Jerrum and Sinclair's chain with respect to the Gibbs distribution $\mu_{G,\lambda}$ of the monomer-dimer model on G at fugacity $\lambda > 0$. Then the mixing time of Jerrum and Sinclair's chain satisfies

$$t_{\min}(\varepsilon) \le 4|E|n\overline{\lambda}\left(n\left(\log n + \log \overline{\lambda}\right) - \log \varepsilon\right)$$

where $\overline{\lambda} = \max\{1, \lambda\}$.

We prove Proposition 3.1 by canonical paths. For simplicity of the analysis we consider the following definition of congestion:

$$\overline{\rho}(\Gamma) := \max_{M,M':P(M,M')\neq 0} \frac{1}{\mu_{G,\lambda}(M)P(M,M')} \sum_{\gamma \in \Gamma: \gamma \ni (M,M')} \mu_{G,\lambda}(M)\mu_{G,\lambda}(M')|\gamma|$$

where $|\gamma|$ is the length of γ .

Proposition 3.2 (Proposition 12.1 in [JS96]). Let *P* be a finite, reversible and ergodic lazy Markov chain with respect to the stationary distribution μ over Ω . Let Γ be a set of canonical paths from Ω to Ω . Then

$$t_{\min}(\varepsilon) \le \overline{\rho}(\Gamma) \left(\log \frac{1}{\mu(x)} + \log \frac{1}{\varepsilon}\right)$$

for any initial state $x \in \Omega$.

Then to show the rapid mixing of the Jerrum and Sinclair's chain, it suffices to construct canonical paths Γ with low congestion.

Lemma 3.3 ([JS96]). For every graph G = (V, E) with |V| = n and fugacity $\lambda > 0$, let P be the Jerrum and Sinclair's chain with respect to the Gibbs distribution $\mu_{G,\lambda}$ of the monomer-dimer model on G at fugacity $\lambda > 0$. Then there exists a family of canonical paths Γ from Ω to Ω such that

$$\overline{\rho}(\Gamma) \leq 4|E|n\lambda$$

where $\overline{\lambda} = \max\{1, \lambda\}$.

3.1 Construction and analysis of canonical paths

Now we construct Γ to prove Lemma 3.3. For a pair of matchings $X, Y \in \Omega$, we consider the symmetric difference $X \oplus Y$. It is not hard to observe that it consists of a disjoint collection of paths or cycles in G, each of which has edges that belong alternately to X and Y. Let $\mathcal{P}(G)$ be the collection of all simple paths and cycles in G. Now suppose that there exists an arbitrary order of $\mathcal{P}(G)$ and we designate each of them a 'start vertex', which is arbitrary if it is a cycle and must be an endpoint otherwise. Then it induces a unique order P_1, \ldots, P_m on the paths and cycles over $X \oplus Y$. Then the canonical path from X to Y involves 'unwinding' each of the P_i in turn as follows:

- 1. P_i is a simple path. Let P_i consist of the sequence $(v_0, v_1, \ldots, v_\ell)$ where v_0 is the start vertex. If $(v_0, v_1) \in Y$, we perform a sequence of (Type 3) transitions replacing (v_{2j+1}, v_{2j+2}) with (v_{2j}, v_{2j+1}) for $j = 0, 1, \ldots$ and finish with a (Type 2) transition if necessary. If $(v_0, v_1) \in X$, we firstly perform a (Type 1) transition removing (v_0, v_1) and proceed as before for the reduced path (v_1, \ldots, v_ℓ) .
- 2. P_i is a cycle. Let P_i consist of the sequence $(v_0, v_1, \ldots, v_{2\ell+1})$ where $\ell \ge 1$, v_0 is the start vertex and $(v_{2j}, v_{2j+1}) \in X$ for $0 \le j \le \ell$. Then we firstly perform a (Type 1) transition to remove (v_0, v_1) , and leave an open path O with endpoints v_0, v_1 . Since one of v_0, v_1 must be the start vertex of O, suppose that v_k is not the start vertex. Then we proceed as 1 but treat v_k as the start vertex, in order to distinguish paths from cycles.

Now we bound $\overline{\rho}(\Gamma)$. Let e = (M, M') be a transition edge in the Markov chain and pass $(e) = \{(X, Y) : \gamma_{XY} \ni e\}$. Now we consider the injective mapping

$$\eta_e : \operatorname{pass}(e) \to \Omega.$$

Intuitively we want $\eta_e(X, Y) = X \oplus Y \oplus (M \cup M')$. However, $\eta_t(X, Y)$ might not be a matching. To ensure that it is a matching, we might remove the edge of X which is adjacent to the start vertex of the path currently unwound: we call this edge f_{XY}^e . Then we define

$$\eta_e(X,Y) = \begin{cases} (X \oplus Y \oplus (M \cup M')) \setminus f_{XY}^e, & e \text{ is (Type 3) and the current path is a cycle;} \\ X \oplus Y \oplus (M \cup M'), & \text{otherwise.} \end{cases}$$

It is not hard to see η_e is a injective function. Now under the mapping η_e , we show the low congestion of canonical paths.

Proof of Lemma 3.3. We construct Γ and injective mapping η_e for transition e = (M, M') as above. Then firstly we show

$$\mu_{G,\lambda}(X)\mu_{G,\lambda}(Y) \le 2|E|\overline{\lambda}^2 \mu_{G,\lambda}(M)P(M,M')\mu_{G,\lambda}(\eta_e(X,Y)).$$
(1)

This bound is enough to show the congestion, since the following equality holds

$$\begin{aligned} \frac{1}{\mu_{G,\lambda}(M)P(M,M')} \sum_{\gamma_{XY} \ni e} \mu_{G,\lambda}(X)\mu_{G,\lambda}(Y)|\gamma_{XY}| &\leq 2|E|\overline{\lambda}^2 \sum_{\gamma_{XY} \ni e} \mu_{G,\lambda}(\eta_e(X,Y))|\gamma_{XY}| \\ &\leq 4|E|n\overline{\lambda}^2 \sum_{\gamma_{XY} \ni e} \mu_{G,\lambda}(\eta_e(X,Y)) \\ &\leq 4|E|n\overline{\lambda}^2. \end{aligned}$$

Now we prove (1). Observe that

$$\mu_{G,\lambda}(M)P(M,M') = \frac{\min\left\{\mu_{G,\lambda}(M), \mu_{G,\lambda}(M')\right\}}{2|E|}$$

We separate the remaining parts into four cases:

1. *e* is a (Type 1) transition. Suppose that $M' = M \setminus \{f\}$. Then $\eta_e(X, Y) = X \oplus Y \oplus M$. Then we have

$$\begin{split} \mu_{G,\lambda}(X)\mu_{G,\lambda}(Y) &= \mu_{G,\lambda}(M)\mu_{G,\lambda}(\eta_e(X,Y)) \\ &= \frac{2|E|\mu_{G,\lambda}(M)P(M,M')}{\min\left\{\mu_{G,\lambda}(M),\mu_{G,\lambda}(M')\right\}}\mu_{G,\lambda}(M)\mu_{G,\lambda}(\eta_e(X,Y)) \\ &= 2|E|\mu_{G,\lambda}(M)P(M,M')\max\left\{1,\frac{\mu_{G,\lambda}(M)}{\mu_{G,\lambda}(M')}\right\}\mu_{G,\lambda}(\eta_e(X,Y)) \\ &\leq 2|E|\overline{\lambda}\mu_{G,\lambda}(M)P(M,M')\mu_{G,\lambda}(\eta_e(X,Y)). \end{split}$$

2. *e* is a (Type 2) transition. The analysis is similar to the last one.

3. *e* is a (Type 3) transition and the current path is a cycle. Suppose that $M' = M \cup \{f\} \setminus \{f'\}$. Then

$$\eta_e(X,Y) = X \oplus Y \oplus (M \cup \{f\}) - f_{XY}^e$$

Then we know $M \cup \eta_e(X, Y)$ differs from $X \cup Y$ only in f and f_{XY}^e . Thus we have

$$\mu_{G,\lambda}(X)\mu_{G,\lambda}(Y) \le 2|E|\overline{\lambda}^2 \mu_{G,\lambda}(M)P(M,M')\mu_{G,\lambda}(\eta_e(X,Y)).$$

4. *e* is a (Type 3) transition and the current path is not a cycle. The analysis is identical to 3 with no necessity of the consideration of f_{XY}^e . Then it holds that

$$\mu_{G,\lambda}(X)\mu_{G,\lambda}(Y) \le 2|E|\lambda\mu_{G,\lambda}(M)P(M,M')\mu_{G,\lambda}(\eta_e(X,Y)).$$

Then we can conclude the inequality except the term with respect to $\overline{\lambda}$ is $\overline{\lambda}^2$. To reduce this order, firstly observe that only the analysis of the third case produces this term. In this case we will show $\sum_{YXY \ni e} \mu_{G,\lambda}(\eta_e(X,Y))$ is upper bounded by $\overline{\lambda}^{-1}$.

To see this, note that $\eta_e(X, Y)$ has at least two unmatched vertices, the start vertex of the current cycle and the common vertex adjacent to f and f'. Moreover, in $\eta_e(X, Y) \oplus M$ the two vertices are linked by an alternating path. Then we argument this path and produce a new matching. Note that different $\eta_e(X, Y)$ produce different matchings. Then we can show the upper bound.

4 Zeros of Partition Functions

In this section we investigate the zeros of $Z_G(\lambda)$ when $\lambda \in \mathbb{C}$. We rewrite it as

$$Z_G(\lambda) = \sum_{k=0}^{\infty} m_k \lambda^k$$

where m_k denotes the number of matchings on *G* with size *k* for all $k \in \mathbb{N}$.

Theorem 4.1 (Theorem 1.2 in [PR17], a restatement of Theorem 2.1). For any graph G = (V, E) with maximum degree Δ and any $\lambda \in \mathbb{C}$ which is not a non-negative real number, there exists a deterministic algorithm for $(1 + \varepsilon)$ -approximation to $Z_G(\lambda)$ with running time polynomial in n and ε^{-1} and exponential in λ and Δ .

By Riemann's Mapping Theorem it suffices to show the partition function $Z_G(\lambda)$ is zero-free outside of a disk centered at the origin with radius $1/\Omega(\Delta)$, and following the methodology of Patel and Regts [PR17] we can prove Theorem 4.1. It is equivalent to consider the following monomer-dimer polynomial which is fully investigated in Heilmann and Lieb [HL72]:

$$P(G, x) = \sum_{k=0}^{\infty} (-1)^k m_k x^{n-2k}, \quad \forall x \in \mathbb{C}.$$

Lemma 4.2. For every graph G = (V, E) with maximum degree $\Delta > 0$, the largest root of the polynomial P(G, x) is at most $2\sqrt{\Delta - 1}$.

Before we prove Lemma 4.2, the following identities are of great significance.

Fact 4.3. For any pair of disjoint graphs G, H, it holds that

$$P(G \cup H, x) = P(G, x) \cdot P(H, x).$$

For any graph G = (V, E) and every vertex $v \in V$, it holds that

$$P(G, x) = xP(G \setminus \{v\}, x) - \sum_{u \in N_G(v)} P(G \setminus \{v, u\}, x).$$

4.1 Zeros of the monomer-dimer polynomials on trees

When the graph is a tree T = (V, E), it is much simpler to show the properties of the monomer-dimer polynomial P(T, x).

Lemma 4.4. For any tree T = (V, E), let A be the adjacent matrix of T. Then the following identity holds

$$P(T, x) = \det(xI - A).$$

Proof. To show the identity, we consider the coefficients of x^{n-2k} in the two polynomial for every $k \in \mathbb{N}$. Firstly we show that they are the same in x^0 . The coefficient of x^0 in P(T, x) is just the number of perfect matchings of *T*. On the other hand, the coefficient of x^0 in the characteristic polynomial of *A* is just the determinant of *A*, *i.e.*,

$$\sum_{\sigma} \operatorname{sgn}(\sigma) \prod_{i} A_{i,\sigma(i)}.$$

We claim that every σ satisfies $\prod_i A_{i,\sigma(i)} \neq 0$ if and only if σ corresponds to a perfect matching. In fact, it holds that σ is a collection of cycles if for all i, $A_{i,\sigma(i)} = 1$. However, since T is a tree, the cycles in σ must have length 2. Then that is to say, $\sigma(\sigma(i)) = i$. Then this produces a unique perfect matching. On the other hand when σ corresponds to a perfect matching it is trivial that the term is non-zero. In this case, it is easy to see $\operatorname{sgn}(\sigma) = (-1)^{n/2}$.

Now for other $k \in \mathbb{N}$, note that the coefficient of x^{n-2k} in det(xI - A) is the sum of determinant of all principal $2k \times 2k$ minors of A. Then we know each such a determinant is equal to the number of perfect matchings in the corresponding induced subgraph of T with 2k vertices.

Lemma 4.5. For any tree T = (V, E) with maximum degree Δ , the largest root of the monomer-dimer polynomial P(T, x) is at most $2\sqrt{\Delta - 1}$.

Proof. By Lemma 4.4 it suffices to bound the largest eigenvalue of the adjacent matrix A of T. We apply the trace method. Note that for every real symmetric matrix $M \in \mathbb{R}^{n \times n}$

$$\mathrm{Tr}(M) = \sum_{i=1}^{n} \lambda_i$$

where $\lambda_1 \geq \lambda_2 \geq \ldots \geq \lambda_n$. Then it holds that

$$\lim_{k \to \infty} \operatorname{Tr}(A^k)^{1/k} = \lambda_{\max}(A).$$

Then it suffices to show for every $u \in V$, it holds that when $k \to \infty$,

$$A_{u,u}^{k} \le 2^{k} (\Delta - 1)^{k/2} \tag{2}$$

and plugging into the trace we know

$$\lambda_{\max}(A) = \lim_{k \to \infty} \operatorname{Tr}(A^k)^{1/k} \le \lim_{k \to \infty} n^{1/k} 2\sqrt{\Delta - 1} = 2\sqrt{\Delta - 1}.$$

To prove (2), observe that $A_{u,u}^k$ is the number of closed walks of length k starting at u. Now we think of the tree T = (V, E) rooted at vertex u. Then we know that there are k/2 'down walks' (each of which has at most $\Delta - 1$ choices) and k/2 'up walks' (each of which has one choice). Then we know

$$A_{u,u}^k \le 1^{k/2} (\Delta - 1)^{k/2} {k \choose k/2} \le 2^k (\Delta - 1)^{k/2}$$

where the last inequality holds from $\binom{k}{k/2} \leq 2^k$.

4.2 Zeros of the monomer-dimer polynomials on general graphs

To prove Lemma 4.2 on general graphs, we compare the graph to a tree and show the connection between the monomer-dimer polynomials of them. For a graph G = (V, E) and every vertex $v \in V$, define the *path tree* T = T(G, v) as the tree rooted at v and for each simple path in G starting at v, T has a node corresponding to it and two paths are adjacent if their length differ by 1 and one is a prefix of another.

Lemma 4.6 ([GG81]). Let G = (V, E) be a graph and $v \in V$ be an arbitrary vertex in G. Let T = T(G, v) be the path tree of G from v. Then it holds that

$$\frac{P(G,x)}{P(G\setminus\{v\},x)} = \frac{P(T,x)}{P(T\setminus\{v\},x)}.$$

Furthermore, the polynomial P(G, x) divides P(T, x).

Note that Lemma 4.6 shows that the roots of P(G, x) are the subset of the roots of P(T, x). Then by Lemma 4.4, we conclude Lemma 4.2.

Proof of Lemma 4.6. When *G* is a tree, the identity holds since G = T(G, v). Then inductively we suppose that the identity holds for any proper subgraph of *G*. Let $H = G \setminus \{v\}$. By Fact 4.3, it holds that

$$\frac{P(G,x)}{P(H,x)} = \frac{xP(H,x) - \sum_{u \in N_G(v)} P(H \setminus \{u\}, x)}{P(H,x)}$$
$$= x - \sum_{u \in N_G(v)} \frac{P(H \setminus \{u\}, x)}{P(H,x)}$$
$$= x - \sum_{u \in N_G(v)} \frac{P(T(H,u) \setminus \{u\}, x)}{P(T(H,u), x)}.$$

Observe that, the tree $T(H, u) = T(G \setminus \{v\}, u)$ is isomorphic to the component of $T(G, v) \setminus \{v\}$ which contains the point corresponding to the path $v \to u$. Therefore,

$$\frac{P(T(H,u) \setminus \{u\}, x)}{P(T(H,u), x)} = \frac{P(T(G,v) \setminus \{u,v\}, x)}{P(T(G,v) \setminus \{v\}, x)}$$

Then we know

$$\begin{aligned} x - \sum_{u \in N_G(v)} \frac{P(T(H, u) \setminus \{u\}, x)}{P(T(H, u), x)} &= x - \sum_{u \in N_G(v)} \frac{P(T(G, v) \setminus \{u, v\}, x)}{P(T(G, v) \setminus \{v\}, x)} \\ &= \frac{xP(T(G, v) \setminus \{v\}, x) - \sum_{u \in N_G(v)} P(T(G, v) \setminus \{u, v\}, x)}{P(T(G, v) \setminus \{v\}, x)} \\ &= \frac{P(T, x)}{P(T \setminus \{v\}, x)}. \end{aligned}$$

To see the second conclusion, firstly by the first identity we know

$$P(T, x) = P(G, x) \frac{P(T \setminus \{v\}, x)}{P(G \setminus \{v\}, x)}$$

Then we need to show $P(T \setminus \{v\}, x)$ is divisible by $P(G \setminus \{v\}, x)$. To show this firstly note that $P(T \setminus \{v\}, x)$ is divisible by $P(T(G \setminus \{v\}, u), x)$, since the latter is isomorphic to one of the connected component of the previous one. Then by induction we know $P(T(G \setminus \{v\}, u), x)$ is divisible by $P(G \setminus \{v\}, x)$. Thus we conclude the results. \Box

References

- [BGK⁺07] Mohsen Bayati, David Gamarnik, Dimitriy Katz, Chandra Nair, and Prasad Tetali. Simple Deterministic Approximation Algorithms for Counting Matchings. In *Proceedings of the Thirty-Ninth Annual ACM Symposium on Theory of Computing*, STOC '07, pages 122–127, New York, NY, USA, 2007. Association for Computing Machinery. 1, 2
 - [GG81] C. D. Godsil and I. Gutman. On the Theory of the Matching Polynomial. *Journal of Graph Theory*, 5(2):137–144, 1981. 9
 - [HL72] Ole J. Heilmann and Elliott H. Lieb. Theory of Monomer-Dimer Systems. Communications in Mathematical Physics, 25(3):190–232, 1972. 7
 - [JS96] Mark Jerrum and Alistair Sinclair. *The Markov Chain Monte Carlo Method: an Approach to Approximate Counting and Integration*, pages 482–520. PWS Publishing Co., USA, 1996. 4, 5
 - [PR17] Viresh Patel and Guus Regts. Deterministic Polynomial-Time Approximation Algorithms for Partition Functions and Graph Polynomials. *Electronic Notes in Discrete Mathematics*, 61:971–977, 2017. The European Conference on Combinatorics, Graph Theory and Applications (EUROCOMB'17). 7